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PATENT
ATTORNEY DOCKET NO. 50093/014001

Certificate of Mailing: Date of Deposit: February 8, 2000

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231.

Mary Jane DiPalma

Printed name of person mailing correspondence

Mary Jane DiPalma

Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony Giordano et al.

Art Unit: 1643

Serial No.: 09/437,458

Examiner:

Filed: November 10, 1999

Title: NUCLEIC ACID SEQUENCES AND METHODS FOR IDENTIFYING
COMPOUNDS THAT AFFECT RNA/RNA BINDING PROTEIN
INTERACTIONS AND mRNA FUNCTIONALITY

Assistant Commissioner of Patents
Washington, D.C. 20231

REPLY TO NOTICE TO FILE MISSING PARTS

In reply to the Notice to File Missing Parts of Application mailed December 9, 1999 (a copy of which is enclosed), Applicant as a small entity submits herewith the following:

- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63.
- ☒ Payment of the surcharge of \$65.00 for late filing of the basic filing fee/declaration.
- ☒ A signed small entity statement.

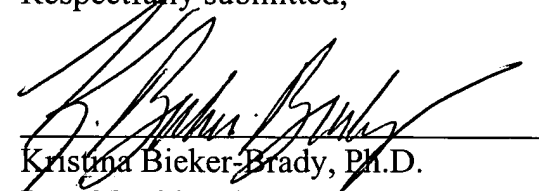
If there are any charges, or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date:

February 8, 2000


Kristina Bieker-Brady, Ph.D.
Reg. No. 39,109

Clark & Elbing LLP
176 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045

\\Ceserver\documents\50093\50093.014001 Reply to NTFMP.wpd

NEW US APPLICATION PATENT ATTORNEY DOCKET NUMBER: 50093-014001

The U.S. PTO date stamp sets forth the date of receipt and serial number of new U.S. patent application:

Applicant: Giordano et al.

Title: Nucleic Acid Sequences And Methods For...

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|---|--------------------|---|---------------------|
| <input checked="" type="checkbox"/> Transmittal | Pages: <u>2</u> | <input type="checkbox"/> Assignment & Cover Sheet | Pages: <u> </u> |
| <input checked="" type="checkbox"/> Cover Sheet | Pages: <u>1</u> | <input checked="" type="checkbox"/> Small Entity Statement | Pages: <u>1</u> |
| <input checked="" type="checkbox"/> Specification | Pages: <u>24</u> | <input type="checkbox"/> Preliminary Amendment | Pages: <u> </u> |
| <input checked="" type="checkbox"/> Claims | Pages: <u>3</u> | <input type="checkbox"/> IDS | Pages: <u> </u> |
| <input checked="" type="checkbox"/> Abstract | Pages: <u>1</u> | <input type="checkbox"/> Cited References | Number: <u> </u> |
| <input type="checkbox"/> Drawings | Pages: <u> </u> | <input type="checkbox"/> PTO Form 1449 | Pages: <u> </u> |
| <input checked="" type="checkbox"/> Declaration/POA | Pages: <u>2</u> | <input checked="" type="checkbox"/> Other <u>Sequence Listing</u> | Pages: <u>5</u> |
| <input checked="" type="checkbox"/> Check | \$: <u>458.00</u> | <input checked="" type="checkbox"/> Other <u>Diskette (1)</u> | Pages: <u> </u> |

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176 FEDERAL STREET
BOSTON, MA 02110

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Washington, D.C. 20231

#3

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
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09/437,458

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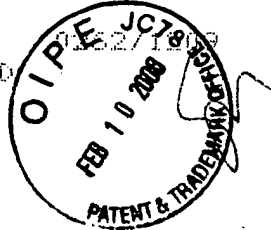
GIORDANO

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KRISTINA BIEKER-BRADY PHD
CLARK AND ELBING LLP
176 FEDERAL STREET
BOSTON MA 02110



NOT ASSIGNED

1643

DATE MAILED:

12/09/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status. (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to: "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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